



AP/1615  
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| January 18, 2005<br>Date  | <u>Michael C. Barrett</u><br>Michael C. Barrett |

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Mumper *et al.*

Serial No.: 10/072,320

Filed: February 7, 2002

For: pH-Sensitive Mucoadhesive Film-Forming  
Gels and Wax-Film Composites Suitable for  
Topical and Mucosal Delivery of Molecules

Group Art Unit: 1615

Examiner: Retford O. Berko

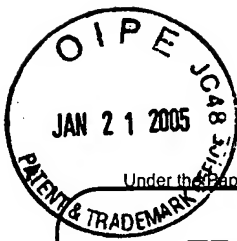
Atty. Dkt. No.: NANO:002USD1/MCB

**Amendment and Response to Final Office Action  
Dated November 17, 2004**

Commissioner for Patents  
Washington, D.C. 20231

Commissioner:

This paper is submitted in response to the final Office Action dated November 17, 2004. This response is being filed within two months to ensure a swift notice of allowance or advisory action (January 17th falls on a holiday). We believe no fee is due. However, should any fees be required for any reason, please deduct from Fulbright & Jaworski L.L.P. Deposit Account No.: 50-1212/NANO:002USD1/ MCB. Favorable reconsideration of the application is respectfully requested.



PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

9

Application Number

10/072,320

Filing Date

February 7, 2002

First Named Inventor

Mumper

Art Unit

1615

Examiner Name

Retford O. Berko

Attorney Docket Number

NANO:002USD1

**ENCLOSURES (Check all that apply)**☐

Fee Transmittal Form

☐

Fee Attached

☒

Amendment/Reply

☒

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☐

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐Reply to Missing Parts/  
Incomplete Application☐Reply to Missing Parts  
under 37 CFR 1.52 or 1.53☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐Petition to Convert to a  
Provisional Application☐Power of Attorney, Revocation  
Change of Correspondence Address☐

Terminal Disclaimer

☐

Request for Refund

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CD, Number of CD(s) \_\_\_\_\_

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Landscape Table on CD

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After Allowance Communication to TC

☐Appeal Communication to Board  
of Appeals and Interferences☐Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)☐

Proprietary Information

☐

Status Letter

☒Other Enclosure(s) (please identify  
below):

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Remarks

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm Name

Fulbright &amp; Jaworski L.L.P.

Signature

Michael C. Barrett

Printed name

Michael C. Barrett

Date

January 18, 2005

Reg. No.

44,523

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Michael C. Barrett

Date

January 18, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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